



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rowland et al.
Serial No.: 09/683,507
Filing Date: January 10, 2002
Group Art. Unit: 2131
Title: COMPUTER SECURITY AND MANAGEMENT SYSTEM

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Honorable Commissioner
for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

<p>I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><u>Willie Tiles</u> Name</p> <p><u>September 23, 2003</u> Date of Signature</p> <p><u>Express Mail No. EV 324623167 US</u></p>

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Enclosed is a copy of a PCT International Search Report identifying the references contained in this Information Disclosure Statement. The PCT International Search Report has

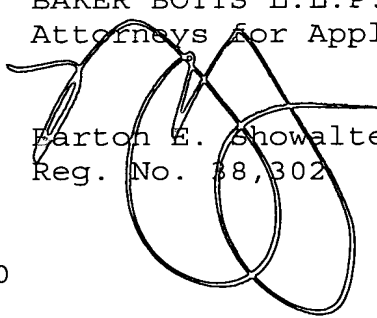
a mailing date of September 5, 2003. Therefore, I hereby certify that the references contained in this Information Disclosure Statement were cited for the first time in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Information Disclosure Statement. Furthermore, in the event a patent term adjustment is requested, pursuant to the requirements of 37 C.F.R. § 1.704, Applicants submit that the references contained in this Information Disclosure Statement were received from a foreign patent office in a related foreign application not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a final action or notice of allowance. Therefore, Applicants believe that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

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PTO-1449

Application No.
062891.0918Applicant(s)
Rowland et al.Docket Number
062891.0918Group Art Unit
2131Filing Date
January 10, 2002Information Disclosure Citation
In an Application

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	6,088,804	07/11/2000	Hill et al.	713	201	01/12/1998
B						
C						
D						
E						
F						
G						
H						
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M	WO 03/029934 A1	04/10/2003	PCT	G06F1/00	H04L29/06	X	
N							
O							
P							
Q							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	Balasubramaniyan et al., "An Architecture for Intrusion Detection Using Autonomous Agents," <i>Purdue University</i> supported by contract from <i>Maryland Procurement Office</i> and by sponsors of the <i>COAST Laboratory</i> , December 1998, 12 pages.	December 1998
S	Jansen et al., "Applying Mobile Agents to Intrusion Detection and Response," <i>National Institute of Standards and Technology Computer Security Division</i> , October 1999, 49 pages.	October 1999
T	International Search Report in International Application No. PCT/US02/00900, dated September 5, 2003, 5 pages.	September 5, 2003

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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